EXAMINER:

ART UNIT:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 6/4/01

Drott meyer

Ungar

1642

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Laus, et al.

SERIAL No.: 09/402,845

FILED: April 10, 1998

FOR: COMPOSITION AND METHOD FOR

INDUCING AN IMMUNE RESPONSE
AGAINST TUMOR-RELATED ANTIGENS

Declaration Under 37 CFR §1.821

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

- I, Larry Thrower, declare and affirm as follows:
- 1. I am an agent for the applicant.
- 2. The floppy disc which accompanies this Declaration contains the required sequence listing.
- 3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
- 4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

Date: June 4, 2001

Larry Thrower

Registration No. 47,994

Correspondence Address:

Customer No. 22918

	ace to PCT Internation	POw ÉR OF ATTORNEY al Applications)		ATTORNEY 7636-0013.1	'S DOCKET N	UMBER
As a bel	ow named inventor, I	hereby declare that:				
My resid	dence, post office add	ress and citizenship are as stated below	next to my name,			
I believe names a	e I am the original, fire re listed below) of the	st and sole inventor (if only one name subject matter which is claimed and for	is listed below) or an or which a patent is so	original, first ought on the in	and joint inventivention entitled	tor (if plura I:
co	MPOSITION AND MET	THOD FOR INDUCING AN IMMUNE RE	SPONSE AGAINST TU	MOR-RELATE	D ANTIGENS	
the spec	ification of which (che	ck only one item below):				
	is attached hereto. was filed as United S Serial No.					
	onand was amended onwas filed as PCT inte	(if applicable)				
⊠	Number PCT/US98/on 10 April 1998 and was amended undon	07232 der PCT Article 19	,			
Í hereby certificate America	claim foreign priority c or 365(a) of any PC listed below and have	benefits under 35 U.S.C. §119(a)-(d) f international application(s) which dealso identified below, by checking the national application(s) having a filing of	or 365(b) of any fore signated at least one cook, any foreign app	ign application country other the	n(s) for patent of han the United S	States of tor's
RIOR FOREIGN/	PCT APPLICATION(s) and any Priority Claims Undi	ER 35 U.S.C. §119:			3
	CT, indicate "PCT")	APPLICATION NUMBER	DATE OF F		PRIORITY UNDER 35	
<u> </u>					☐ YES	□ №О
****					☐ YES	□ NO
			ates application(s)		- L	·

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COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 7636-0013.10

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:

	U.S. APPLICAT	ions	ST	ATUS (Check	one)	
U.S. APPLICATION NUMBER 60/043,301		U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
		11 April 1997			Х	
	PCT APPLICATIONS DESIGNA	ATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)				

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Peter J. Dehlinger 28,006 Linda R. Judge 42,702 Judy M. Mohr 38,563 Michael L. Gencarella P44,703 LeeAnn Gorthey 37,337 Michael T. Gabrik 32,896

Seno	d Correspondence			Direct Telephone Calls to:
		Dehlinger & Associate P.O. Box 60850 Palo Alto, CA 94306	s	Peter J. Dehlinger (650) 324-0880
201	FULL NAME OF INVENTOR	FAMILY NAME Laus	FIRST GIVEN NAME Reiner	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	Bellevue	STATE OF FOREIGN COUNTRY Washington	COUNTRY OF CITIZENSHIP Germany
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 4733 154th PI., SE	Bellevue	STATE & ZIP CODE/COUNTRY WA, 98006 US
202	FULL NAME OF INVENTOR	FAMILY NAME Ruegg	FIRST GIVEN NAME Curtis	SECOND GIVEN NAME L.
	RESIDENCE & CITIZENSHIP	Redwood City	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 826 Shepard Way	Redwood City	STATE & ZIP CODE/COUNTRY CA 94062 US
203	FULL NAME OF INVENTOR	Shapero	FIRST GIVEN NAME Michael	SECOND GIVEN NAME H.
	RESIDENCE & CITIZENSHIP	Redwood City	SYATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP US
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2449 Carson Street	Redwood City	STATE & ZIP CODE/COUNTRY CA 94061 US
204	FULL NAME OF INVENTOR	FAMILY NAME Yang	FIRST GIVEN NAME Demao	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	Mountain View	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1921 Rock St., #16	Mountain View	CA 94043 US

I hereby declare that all statements made herein of my own knowledge are true and that alls statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
		hice Shew	PLEASE SIGN
DATE	DATE	DATE	DATE & DATE
		FEB 16 2000	

	INED DECLARATION AND s Reference to PCT Internation			ATTORNEY'S I 7636-0013.10	DOCKET N	UMBER
	As a below named inventor, I	hereby declare that:				
	My residence, post office add	ress and citizenship are as stated belo	w next to my name,			
	I believe I am the original, firmames are listed below) of the	st and sole inventor (if only one name subject matter which is claimed and	e is listed below) or ar for which a patent is s	n original, first and sought on the inver	d joint invent ntion entitled	or (if plural :
	COMPOSITION AND MET	THOD FOR INDUCING AN IMMUNE R	ESPONSE AGAINST TO	JMOR-RELATED A	ANTIGENS	
	the specification of which (che	ck only one item below):				
	was filed as PCT into Number PCT/US98/ on 10 April 1998 and was amended uncon. I hereby state that I have revie amended by any amendment real acknowledge the duty to disc. I hereby claim foreign priority certificate or 365(a) of any PC America listed below and have		patentability as define) or 365(b) of any foresignated at least one to box, any foreign ap	ed in 37 CFR §1.50 eign application(s) country other than plication(s) for pat	6(a). for patent on the United Stent or invent	r inventor's States of or's
Prior I	OREIGN/PCT APPLICATION(s) and any Priority Claims Uni	DER 35 U.S.C. §119	:	-	
COUNT	RY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF	L.	PRIORITY OUNDER 35	
					☐ YES	□ NO
					☐ YES	□ NO
applicat this app paragra 37 CFR	ion(s) designating the United Dication is not disclosed in ph of 35, U.S.C. §112, I acl	35 U.S.C. §120 of any United S I States of America, listed below a In the prior United States or PC Knowledge the duty to disclose info vailable between the filing date cation.	and, insofar as the s CT application(s) in formation which is	subject matter of n the manner p material to pater	each of the provided by ntability as	claims of the first defined in

COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 7636-0013.10

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:

U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER 60/043,301		U.S. FILING DATE	PATENTED	PENDING	ABANDONED
		11 April 1997			X
	PCT APPLICATIONS DESIGN	ATING THE U.S.	·		
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to pr secute this application and transact all business in the Patent and Trademark Office connected therewith.

Peter J. Dehlinger 28,006 Linda R. Judge 42,702 Judy M. Mohr 38,563 Michael L. Gencarella P44,703 LeeAnn Gorthey 37,337 Michael T. Gabrik 32,896

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201	FULL NAME OF INVENTOR	FAMILY NAME Laus	FIRST GIVEN NAME Reiner	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY Bellevue	STATE OF FOREIGN COUNTRY Washington	COUNTRY OF CITIZENSHIP Germany
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202	FULL NAME OF INVENTOR	FAMILY NAME Ruegg	FIRST GIVEN NAME Curtis	SECOND GIVEN NAME L.
	RESIDENCE & CITIZENSHIP	CITY Redwood City	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 826 Shepard Way	Redwood City	STATE & ZIP CODE/COUNTRY CA 94062 US
03	FULL NAME OF INVENTOR	FAMILY NAME Shapero	FIRST GIVEN NAME Michael	SECOND GIVEN NAME H.
	RESIDENCE & CITIZENSHIP	CITY Redwood City	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP US
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2449 Carson Street	Redwood City	STATE & ZIP CODE/COUNTRY CA 94061 US
204	FULL NAME OF INVENTOR	FAMILY NAME Yang	FIRST GIVEN NAME Demao	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	Mountain View	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1921 Rock St., #16	Mountain View	CA 94043 US

I hereby declare that all statements made herein of my own knowledge are true and that alls statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
DATE	1/29/00	DATE	DATE

		, -				<u>.</u>
	LARATION AND to PCT Internation	POWER OF ATTORNEY al Applications)		ATTORNEY'S 7636-0013.10	·	UMBER
As a below	v named inventor, I	hereby declare that:				
My reside	nce, post office addr	ess and citizenship are as stated belo	ow next to my name,			
I believe I names are	am the original, firs listed below) of the	t and sole inventor (if only one nam subject matter which is claimed and	e is listed below) or a for which a patent is	an original, first a sought on the inv	and joint invention entitled	tor (if plural l:
СОМ	POSITION AND MET	HOD FOR INDUCING AN IMMUNE F	ESPONSE AGAINST T	UMOR-RELATEI	ANTIGENS	
the specific	cation of which (che	ck only one item below):				
S S . o	s attached hereto. vas filed as United S erial No. n nd was amended					
⊠ w N o a	n	ler PCT Article 19				
amended b I acknowle I hereby cl certificate o America lis certificate, claimed.	y any amendment redge the duty to disclaim foreign priority or 365(a) of any PCT ted below and have or of any PCT inter-	ose information which is material to benefits under 35 U.S.C. §119(a)-(a) international application(s) which also identified below, by checking the national application(s) having a filing	p patentability as definately or 365(b) of any following particular that one he box, any foreign age date before that of the source of the properties of the box.	ned in 37 CFR §1 reign application(e country other the pplication(s) for publication(s)	.56(a). (s) for patent o an the United spatent or inven	r inventor's States of tor's
		s) and any Priority Claims Un	DER 35 U.S.C. §119): 		•
COUNTRY (if PCT	', indicate "PCT")	APPLICATION NUMBER	DATE OF (day, mon		PRIORITY UNDER 35	
					☐ YES	□NO
		_			☐ YES	□ NO
application(s) designation is application is paragraph of 35, 137 CFR §1.56(a)	gnating the United not disclosed in U.S.C. §112, I ack	5 U.S.C. §120 of any United States of America, listed below the prior United States or Panowledge the duty to disclose invailable between the filing date cation.	and, insofar as the CT application(s) if formation which is	subject matter in the manner material to pat	of each of the provided by entability as	claims of the first defined in

COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 7636-0013.10

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35

	U.S. APPLICAT	ONS	ST	ATUS (Check	one)
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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENCE SIGN PLEASE SIGN & DATE		SIGNATURE OF INVENTOR 204
DATE (4/20)	DATE	DATE	DATE

		<u> </u>					
COMBINED DECLARATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NUMBER 7636-0013.10			
As a bel	ow named inventor, I	hereby declare that:					
My resid	dence, post office add	ress and citizenship are as stated belo	ow next to my name,				
I believe names a	e I am the original, fire listed below) of the	st and sole inventor (if only one nam subject matter which is claimed and	e is listed below) or ar for which a patent is s	original, first as	nd joint inven	itor (if plural i:	
co	MPOSITION AND MET	THOD FOR INDUCING AN IMMUNE F	ESPONSE AGAINST TU	JMOR-RELATED	ANTIGENS		
the spec	ification of which (che	ck only one item below):					
amended I acknow I hereby certificate America	was filed as PCT inte Number PCT/US98/ on 10 April 1998 and was amended und on state that I have revie by any amendment re- reledge the duty to disc claim foreign priority e or 365(a) of any PC' listed below and have	, (if applicable) rnational application 07232 der PCT Article 19 wed and understand the contents of the	patentability as define i) or 365(b) of any fore designated at least one the box, any foreign app	ed in 37 CFR §1 Eign application(s) country other than plication(s) for particular section of the section o	56(a). S) for patent on the United atent or inven	r inventor's States of tor's	
PRIOR FOREIGN/	PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UN	DER 35 U.S.C. §119:				
COUNTRY (if PO	CT, indicate "PCT")	APPLICATION NUMBER	DATE OF I	1	PRIORITY UNDER 35		
					☐ YES	□ NO	
					☐ YES	□ NO	
application(s) de this application paragraph of 35 37 CFR §1.56(a	signating the United is not disclosed in , U.S.C. §112, I ack	5 U.S.C. §120 of any United States of America, listed below the prior United States or Potnowledge the duty to disclose invailable between the filing date cation.	and, insofar as the si CT application(s) in formation which is n	ubject matter on the manner property the manner property of the material to pate	f each of the provided by intability as	claims of the first defined in	

COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED) ATTORNEY'S DOCKET NUMBER (Includes Reference to PCT International Applications) 7636-0013.10 PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120: U.S. APPLICATIONS STATUS (Check one) U.S. APPLICATION NUMBER U.S. FILING DATE **PATENTED** PENDING ABANDONED 60/043,301 11 April 1997 X PCT APPLICATIONS DESIGNATING THE U.S. PCT APPLICATION NO. PCT FILING DATE U.S. SERIAL NUMBERS ASSIGNED (if any) POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Peter J. Dehlinger 28,006 Judy M. Mohr 38,563 LeeAnn Gorthey 37,337 Linda R. Judge 42,702 Michael L. Gencarella P44,703 Michael T. Gabrik 32,896 Direct Telephone Calls to: Send Correspondence to: **Dehlinger & Associates** Peter J. Dehlinger P.O. Box 60850 (650) 324-0880 Palo Alto, CA 94306 FULL NAME OF INVENTOR FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME Laus Reiner 201 RESIDENCE & CITIZENSHIP STATE OF FOREIGN COUNTRY COUNTRY OF CITIZENSHIP **Bellevue** Washington Germany POST OFFICE ADDRESS CITY POST OFFICE ADDRESS STATE & ZIP CODE/COUNTRY 4733 154th Pl., SE Bellevue WA, 98006 US FULL NAME OF INVENTOR FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME 202 Ruegg Curtis RESIDENCE & CITIZENSHIP CITY STATE OF FOREIGN COUNTRY COUNTRY OF CITIZENSHIP **Redwood City** California **USA** POST OFFICE ADDRESS OST OFFICE ADDRESS CITY STATE & ZIP CODE/COUNTRY 826 Shepard Way **Redwood City** CA 94062 US FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME Shapero 203 Michael H. STATE OF FOREIGN COUNTRY RESIDENCE & CITIZENSHIP COUNTRY OF CITIZENSHIP **Redwood City** California US POST OFFICE ADDRESS POST OFFICE ADDRESS CITY STATE & ZIP CODE/COUNTRY 2449 Carson Street Redwood City CA 94061 US FAMILY NAME FULL NAME OF INVENTOR FIRST GIVEN NAME SECOND GIVEN NAME Yang Demao RESIDENCE & CITIZENSHIP STATE OF FOREIGN COUNTRY COUNTRY OF CITIZENSHIP Mountain View California China POST OFFICE ADDRESS POST OFFICE ADDRESS STATE & ZIP CODE/COUNTRY 1921 Rock St., #16 Mountain View CA 94043 US

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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
DATE	DATE	DATE	DATE
			219100